



morphotek[®] inc.

specialists in creating genetic diversity

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FAX MESSAGE



To: Assistant Commissioner for Patents

Company Name: United States Patent and Trademark Office

FAX Number: (703) 872-9703

Phone Number: (703) 308-0198

From: Patrick J. Farley, J.D., Ph.D.
Reg. No. 42,524

No. of pages with cover: 12

RE: U.S. Serial No. 09/707,468, filed Nov. 7, 2000

Message

Please confirm receipt of Supplemental Amendment to Office Action of Feb. 26, 2003 (8 pages); Transmittal (1 page); Fee transmittal (1 page); Petition for Extension of Time for Reply within Third Month (1 page); all filed by facsimile today (August 18, 2003).

PTO/SB/41 (05-03)

Approved for use through 04/30/2005. GMB 051-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM	
(To be used for all correspondence after initial filing)	
Total Number of Pages in This Submission	11
Attorney Docket Number MOR-0003	

ENCLOSURES (Check off that apply)								
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): _____						
Remarks _____								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT <table border="1"> <tr> <td>Firm or Individual</td> <td>Patrick J. Farley, J.D., Ph.D. Morphotek Inc.</td> </tr> <tr> <td>Signature</td> <td></td> </tr> <tr> <td>Date</td> <td>August 18, 2003</td> </tr> </table>			Firm or Individual	Patrick J. Farley, J.D., Ph.D. Morphotek Inc.	Signature		Date	August 18, 2003
Firm or Individual	Patrick J. Farley, J.D., Ph.D. Morphotek Inc.							
Signature								
Date	August 18, 2003							

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: August 18, 2003

Type or printed	Patrick J. Farley (Reg. No. 42,524)
Signature	
Date August 18, 2003	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. This may vary depending upon the individual case. Any comments on the amount of time you believe this form requires, or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17.05-05

Approved for use through 04/30/2002 GSA GEN. REG. NO. 27

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 465)

Complete if Known

Application Number	09/707,468
Filing Date	November 7, 2000
First Named Inventor	Nicolaides
Examiner Name	D.T. Nguyen
Art Unit	1632
Attorney Docket No.	MOR-0003

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number

Morphotek Inc.

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
- Charge any additional fee(s) during the pendency of this application
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fee	Fee	Fee	Fee	Code (\$)	Code (\$)
1001	750	2001	375	Utility filing fee	
1002	330	2002	105	Design filing fee	
1003	520	2003	265	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fees from below	Fee Paid
Total Claims	-20% =	X =
Independent Claims	- 31% =	X =
Multiple Dependent		

Large Entity	Small Entity	Fee	Fee Description	Fee Paid	
Fee	Fee	Fee	Code (\$)	Code (\$)	
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1235	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	** Release independent claims over original patent	
1205	18	2205	9	** Release claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

*For number previously paid. If greater, for Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)				
1051	130	2051	65	Surcharge - late filing fee or cash	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,620	1912	2,520	Filing a request for ex parte reexamination	
1804	920*	1904	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1905	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	485	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(e)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1808	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(e))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

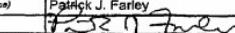
Fee Paid

465

SUBTOTAL (3) (\$ 465)

*Reduced by Basic Filing Fee Paid

(Complete if applicable)

Name (Print/Type)	Patrick J. Farley	Registration No. (Attorney/Agent)	42,524	Telephone	610-423-8148
Signature				Date	August 18, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-238.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) a patent application. Estimated burden is 14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Times may vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/22 (06-03)

Approved for use through 7/31/2003. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)		Court Number (Optional) MOR-0003							
<table border="1"> <tr> <td>In re Application of Nicholas C. Nicolaides et al</td> </tr> <tr> <td>Application Number 09/707,468</td> <td>Filed 11/7/2000</td> </tr> <tr> <td colspan="2">For Methods for Generating Genetically</td> </tr> <tr> <td>Art Unit 1632</td> <td>Examiner D.T. Nguyen</td> </tr> </table>			In re Application of Nicholas C. Nicolaides et al	Application Number 09/707,468	Filed 11/7/2000	For Methods for Generating Genetically		Art Unit 1632	Examiner D.T. Nguyen
In re Application of Nicholas C. Nicolaides et al									
Application Number 09/707,468	Filed 11/7/2000								
For Methods for Generating Genetically									
Art Unit 1632	Examiner D.T. Nguyen								

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows (check time period desired):

- | | |
|--|---------------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$ _____ |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2)) | \$ _____ |
| <input checked="" type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ <u>930</u> |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4)) | \$ _____ |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5)) | \$ _____ |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount shown above is reduced by one-half, and the resulting fee is: \$ <u>465</u> . | |
| <input type="checkbox"/> A check in the amount of the fee is enclosed. | |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached. | |
| <input checked="" type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account. | |
| <input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number <u>50-2539</u> . | |

I have enclosed a duplicate copy of this sheet.

I am the applicant/inventor.

- | |
|--|
| <input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96). |
| <input checked="" type="checkbox"/> attorney or agent of record. Registration Number <u>42,524</u> |
| <input type="checkbox"/> attorney or agent under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a) _____ |

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August 18, 2003

Date

(610) 423-6146

Telephone Number


Signature

Patrick J. Farley

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

Total of 1 forms are submitted.

The collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is the file (and by the USPTO to process) an application. Confidentiality is provided by 35 U.S.C. 122 and 37 CFR 1.14(e). This collection is estimated to take 0 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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